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PATENT

2-8-02



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION OF:

Ileana A. Leuca *et al.*

SERIAL NO.: 08/994,831

FILED: December 19, 1997

FOR: **METHODS AND SYSTEMS FOR  
MANAGING THE ROUTING OF  
PACKETS OVER A HYBRID  
COMMUNICATION NETWORK**

EXAMINER: TRAN MAIKHANH

ART UNIT: 2731

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**Information Disclosure Statement After First Office Action but  
Before Final Action or Notice of Allowance – 37 CFR 1.97(c)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

1. Timing of Submission

The information transmitted herewith is being filed *after* three months of the filing date of this application or after the mailing date of the first Office action on the merits, whichever occurred last, but *before* the mailing date of either a final action under 37 CFR 1.113 or a Notice of Allowance under 37 CFR 1.311, whichever occurs first. The references listed on the enclosed Form PTO/SB/08A may be material to the examination of this application; the Examiner is requested to make them of record in the application.

2. Cited Information

x Copies of the following references are enclosed:

- x All cited references
- ☐ References marked by asterisks
- ☐ The following:

☐ Copies of the following references can be found in parent application Ser. No.

02/05/2002 YPOLITE1 00000014 08994831

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- ☐ All cited references
- ☐ References marked by asterisks
- ☐ The following:

- ☐ The following references are not in English. For each such reference, the undersigned has enclosed (i) a translation of the reference; (ii) a copy of a communication from a foreign patent office or International Searching Authority citing the reference, (iii) a copy of a reference which appears to be an English-language counterpart, or (iv) an English-language abstract for the reference prepared by a third party. Applicant has not verified that the translation, English-language counterpart or third-party abstract is an accurate representation of the teachings of the non-English reference, though, and reserves the right to demonstrate otherwise.

- ☐ All cited references
- ☐ References marked by ampersands
- ☐ The following:

3. Effect of Information Disclosure Statement (37 CFR 1.97(h))

This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the cited information is, or is considered to be, material to patentability. In addition, applicant does not admit that any enclosed item of information constitutes prior art to the subject invention and specifically reserves the right to demonstrate that any such reference is not prior art.

4. Fee Payment (37 CFR 1.97(c)) or Certification (37 CFR 1.97(e))

- ☐ Applicant elects to pay the fee under 37 CFR 1.17(p) [\$180.00].
  - x Check enclosed for \$180.00.
  - ☐ Please charge the above fee(s) to Deposit Account No. 50-0665; this paper is provided in triplicate.
- ☐ Applicant submits that no fee is due in light of the following certification under 37 CFR 1.97(e) (check only one):
  - ☐ In accordance with 37 CFR 1.97(e)(1), the undersigned hereby states that each item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to this filing of this statement; or
  - ☐ In accordance with 37 CFR 1.97(e)(2), the undersigned hereby states that no item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application, or, to the knowledge of the person signing the certification after making reasonable

inquiry, was known to any individual designated in 37 CFR 1.56(c), more than three months prior to the filing of this statement.

- ☒ Please charge any underpayment for timely filing of this paper to Deposit Account No. 50-0665.

5. Patent Term Adjustment (37 CFR 1.704(d))

- ☐ The undersigned states that each item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this statement. 37 C.F.R. § 1.704(d).

Respectfully submitted,

Date: January 22, 2002

Katherine Lee

Katherine D. Lee  
Registration No. 44,865

**Correspondence Address:**

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Please type a plus sign (+) inside this box → +

PTO/SB/08A

Approved for use through 10/31/99. OMB 0651-0031  
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Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary) <div style="border: 2px solid black; border-radius: 50%; width: 100px; height: 100px; display: flex; align-items: center; justify-content: center; margin: 10px auto;"> <div style="writing-mode: vertical-rl; transform: rotate(180deg);">PATENT &amp; TRADEMARK OFFICE</div> <div style="text-align: center;"> <div style="font-size: 2em; font-weight: bold;">OIP</div> <div style="font-weight: bold;">JAN 31 2002</div> </div> </div>				<b>COMPLETE IF KNOWN</b>		
				Application Number		08/994,831
				Confirmation Number		
				Filing Date		December 19, 1997
				First Named Inventor		Ileana A. Leuca
				Group Art Unit		2731
Examiner Name		Tran Maikhahn				
Attorney Docket No.		101948011US				
1 of 1						

### U.S. PATENT DOCUMENTS

*EXAMINER INITIALS	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		NUMBER	Kind Code (if known)			
	AA	4,549,291		Renoulin et al.	10/22/85	
	AB	4,577,066		Bimonte et al.	3/18/86	
	AC	5,535,199		Amri et al.	7/9/96	
	AD	5,610,910		Focsaneanu	3/11/97	
	AE	5,625,877		Dunn	4/29/97	
	AF	5,633,866		Callon	5/27/97	
	AG	5,712,907		Wegner et al.	1/27/98	
	AH	6,141,339		Kaplan et al.	10/31/00	

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### FOREIGN PATENT DOCUMENTS

*EXAMINER INITIALS	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Office	Number	Kind Code (if known)				
	AI		WO 97/12493		Qualcomm Incorporated	4/3/97		
	AK		WO 97/13381		Telefonaktiebolaget LM Ericsson	4/10/97		
	AL		WO 97/20445		Nokia Telecommunications OY	6/5/97		
	AM		EP 0 797 337		Das et al.	9/24/97		
	AN							

### OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS

*EXAMINER INITIALS	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume/issue number(s), publisher, city and/or country where published.	T
	AP		
	AQ		
	AR		

EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).